

#6



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FAX COVER SHEET**To:** Request for Corrected File Receipt**Company Name:** USPTO**Company Phone No.:** 703-308-1202**Fax Number:** 703-746-9195**From:** David Hibler**Date:** May 15, 2002**No. of Pages (including cover page):**15 Pages

☒ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

MESSAGE**Good Morning!**

Early today our Legal Assistant, Michelle Klein, spoke with Preston Wallace regarding (2) applications that we have been trying to get corrected file receipts on. He suggested that she send the information to you via facsimile to try once again to get these corrected. Please find attached the documentation to back-up the following corrections.

Application Serial Number 09/930,877: File date should be 08/15/01. USPTO sticker on the Return Postcard shows 08/14/01, but the Express Mail receipt is dated 08/15/01 by the post office. Please correct the File Date accordingly.

Application Serial Number 09/735,713: Priority date is listed on Utility Application first page and on the Dec/POA. The priority date comes back as inconsistent with PTO records, however, I have attached the Provisional Application Express Mail label and all

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correspondence in which we have tried to have the Provisional Application File date corrected. It is understandable that priority date is inconsistent, as it has never been corrected on the Provisional Application as requested.

Thank you in advance for your help in this matter. If you have any questions or would like to discuss this further, please feel free to contact Michelle Klein at 281-863-3042.

Regards,



David Hibler
Reg. No. 41,071

09/930,877

1. **BOX PATENT APPLICATION RETURN
POSTCARD STATING THAT THE
APPLICATION WAS MAILED
08/15/01 BUT PTO STICKER READS
08/14/01**
2. **EXPRESS MAIL LABEL STAMPED BY
U.S. POSTAL SERVICE WITH MAIL
DATE OF 08/15/01**
3. **REQUEST FOR CORRECTED FILE
RECEIPT**
4. **CORRECTED FILING RECEIPT**
5. **FAX DATED 12/12/01 REGARDING
REQUEST FOR CORRECTED FILING
RECEIPT**

**Mail
Date****Box Patent Application**Express Mail No.: EL 893 393 834-USDate Mailed August 15, 2001U.S. Appl. Ser. No.: to be assignedInventors: Zambowicz and JandaTitle: Arrayed Collection of Genomic ClonesAttorney Docket No.: LEX-0220-USA

☒ Specification 15 pages total (12 pages spec; 2 claim page(s); 1 page Abstract
☒ Transmittal Letter (original)
☐ Drawings (pages; number of drawings)
☐ Sequence Listing (pages)
☐ Nonpublication Request
☐ Sequence Listing in CRF on diskette
☐ Verified Statement regarding Sequence Listing
☒ Fee Transmittal

Other: return postcard; unsigned DeclarationRECEIVED
AUG 27 2001

POSTED

1c996 U.S. PTO
09/930877
08/15/01**Sticker
Showing
Wrong Mail
date
(the day before)**

**POST OFFICE
TO ADDRESSEE**

EL 893393834 US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code 11429	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date 8/5/02	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$19.15
Time 10:00 AM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight 2.12 lbs	Int'l Alpha Country Code	GOD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Mark Label	Total Postage & Fees \$19.15

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ON INSURANCE COVERAGE**

☐ **WAIVER OF SIGNATURE (Domestic Only):** Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

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PHONE

281 863 3503

LEXICON GENETICS INC
4000 RESEARCH FOREST
SPRING
LEX-0214-USA

LEX-210-USA LEX-0214-USA

TO: (PLEASE PRINT)

PHONE

703 308 3202

Ans Comm for Patents
Box Patent Application
Washington DC 20231

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.comCustomer Copy
Label 11-F August 2000

correct file date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Zambrowicz and Sands	
Application No.:	09/930,877	
Filed:	August 15, 2001	Group Art Unit: 1646
Title:	Arrayed Collection of Genomic Clones	Examiner: To Be Assigned
Attorney Docket No.:	LEX-0220-USA	

REQUEST FOR CORRECTED FILING RECEIPT

Attn: Application Processing Division's Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-captioned nonprovisional application, as corrected herein by Applicants. The filing receipt and the PTO sticker on the Return Postcard incorrectly state the File Date. Please correct the Filing Receipt to read as follows under the File Date heading:



08/15/01

Please make the appropriate corrections and issue a corrected Filing Receipt.

Applicants believe that no fee is due with this Request for Correction of Filing Receipt because the incorrect file date reflects a PTO error. However, please charge any required fee or credit any refund to Lexicon Genetics Incorporated Deposit Account No. 50-0892. A copy of this sheet is enclosed.

Respectfully submitted,

11/13/01
Date

Lance K. Ishimoto by David W. Hite DAVID W. HITE
Lance K. Ishimoto Reg. No. 41866 REG. NO. 41,071

LEXICON GENETICS INCORPORATED
4000 Research Forest Drive
The Woodlands, TX 77381
(281) 863-3333



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/930,877	08/14/2001 08/15/2001	1646	0.00	LEX-0220- USA		7	2

24231
LEXICON GENETICS INCORPORATED
4000 RESEARCH FOREST DRIVE
THE WOODLANDS, TX 77381

CONFIRMATION NO. 1813

FILING RECEIPT

11/11/01 10:10 AM FAX 202 514 0001
"OC00000006788931"

Date Mailed: 09/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brian Zambrowicz, The Woodlands, TX;
Arthur T. Sands, The Woodlands, TX;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/225,244 08/15/2000

Foreign Applications

If Required, Foreign Filing License Granted 09/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Arrayed collection of genomic clones

Preliminary Class

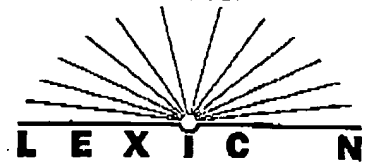
435

RECEIVED

OCT 01 2001

Per. *dt*POSTED
10/1/01 *dt*

Lexicon Genetics Incorporated
4000 Research Forest Drive
The Woodlands, TX 77381
Telephone: (281) 364-0100
Telecopier: (281) 364-3335
www.lexgen.com



To: Preston Wallace, PTO **From:** Michelle Klein, tel: 281-863-3042

Fax: 703-308-7751 **Pages:** 10

Phone: 703-308-1202 **Date:** December 12, 2001

Re: Applications Numbered: **Cc:**

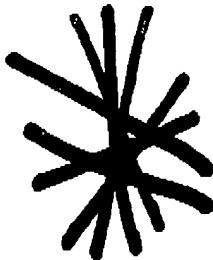
09/773,476, 09/930,877 and 09/718,455

Attached are the Responses and back-up paperwork for the issues regarding the three applications we discussed earlier today. Thank you in advance for your help in these matters.

Issue #1: App # 09/773,476 Att Dock # LEX-0126-USA

We received a Response to Request for Corrected Filing Receipt in which it states that an amendment or Application Data Sheet is needed to make this change. This is a Continuity change...no amendment is needed. Regarding this change, I have attached the following: Response to Request for Corrected Filing Receipt from PTO, Request for Corrected Filing Receipt filed July 27, 2001, and a copy of Corrected Filing Receipt as filed by applicant. (4 pages total)

Issue #2: App # 09/930,877 Att Dock # LEX-0220-USA



We received a Response to Request for Corrected Filing Receipt in which it states that the postcard included an incorrect application number. After researching this, I have not found where there is a postcard that was filed with an incorrect application number. We did file a Request for Corrected Filing Receipt and have received an Updated Filing Receipt, but the Filing Date change was not made. Regarding this change, I have attached the following: Response to Request for Corrected Filing Receipt from PTO, Request for Corrected Filing Receipt filed November 13, 2001, a copy of Corrected Filing Receipt as filed by applicant and the Updated Filing Receipt. (4 pages total)

Issue #3: App # 09/718,455 Att Dock # LEX-0094-USA

We received a Response to Request for Corrected Filing Receipt in which it states that the Continuity claimed cannot be added to the Filing Receipt without supplying the relationship. Written next to it, is the Application Number 09/728,445, which is our application but not this docket number. We have not requested any corrections be made to the Filing Receipt. Unless instructed otherwise, we will disregard this Response. Please find attached a copy of the Response. (1 page total)

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09/735,713

1. **UPDATED FILING RECEIPT**
2. **FIRST PAGE OF APPLICATION**
3. **DECLARATION/POA**
4. **FAX DATED 03/05/01 REGARDING
FILE DATE CORRECTION OF
PROVISIONAL APPLICATION
60/171,566**
5. **REQUEST DATED 01/27/00 FOR
CORRECTED FILE RECEIPT**
6. **EXPRESS MAIL LABEL STAMPED BY
U.S. POST OFFICE WITH CORRECT
FILE DATE**